# Chapter 6

SNMP Management: **SNMPv2** 

## **Objectives**

- Community-based security
- SNMPv2 enhancements
  - Additional messages
  - Formalization of SMI
- Get-bulk request and information-request
- SNMP MIB modifications
- Incompatibility with SNMPv1
- Proxy server
- Bilingual manager

# Major Changes with SNMPv2

- Two significant messages were added.
  - Bulk data transfer: It allows to request and receive bulk data using the get-bulk message.
     This speeds up the get-next-request process and is especially useful to retrieve data from tables.
  - Manager-to-manager message: This extends the communication of management messages between management systems and thus makes network management systems interoperable.

- Security features, originally to be in SNMPv2 moved to SNMPv3 due to lack of consensus
- SNMPv2, like SNMPv1, is community-based administrative framework

# Major Changes with SNMPv2

- •Enhancements to SMI: SMIv2 is divided into three parts
  - **Module definitions**: <u>MODULE-IDENTITY macro</u> is used to define an information module. It concisely conveys the semantics of the information module.
  - **Object definitions**: OBJECT-TYPE macro defines the syntax and semantics of a managed object.
  - Trap definitions is also termed notification and is defined by a NOTIFICATION-TYPE macro.

## Major Changes with SNMPv2

- •Textual conventions ((collectively, Type Definitions)) are designed to help define new data types.
- Conformance statements: help the customer objectively compare features of various products. It also keeps vendors honest in claiming their product as being compatible with a given SNMP version
- Row creation and deletion in table
- MIB enhancements: In SNMPv2, the Internet node in the MIB has two new subgroups: security and snmpV2, as shown in Figure 6.1. There are significant changes to System and SNMP groups of version 1.
- Transport mappings: There are several changes to the communication model in SNMPv2. Although use of UDP is the preferred transport protocol mechanism for SNMP management, other transport protocols could be used with SNMPv2. The mappings needed to define other protocols on to UDP are the subject of RFC 1906

## **SNMPv2 Internet Group**

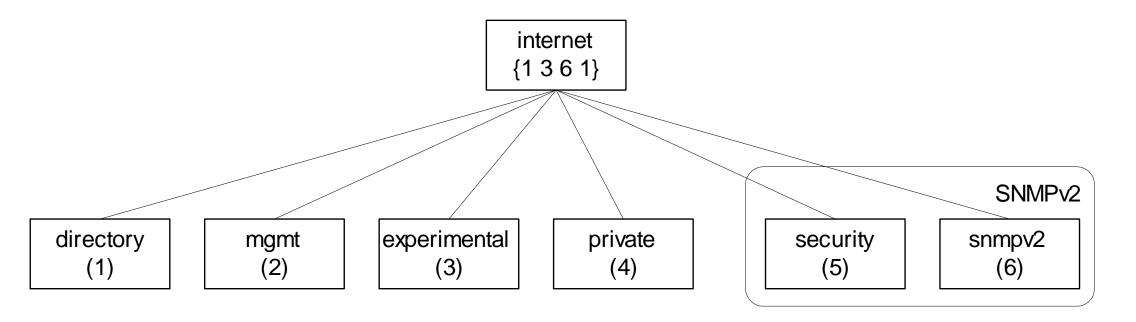
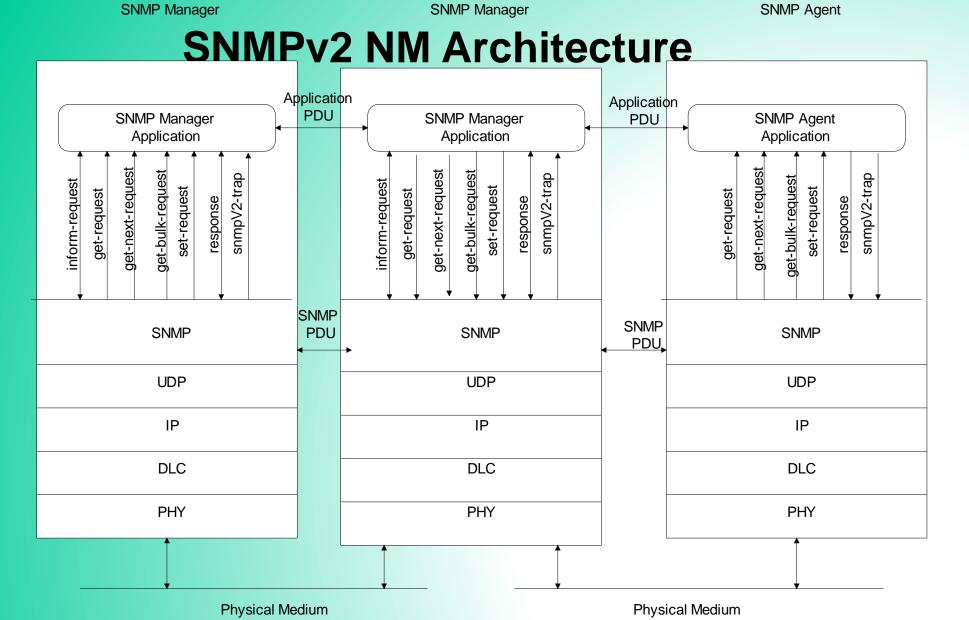


Figure 6.1 SNMPv2 Internet Group

- Objects added to System group
- Extensive modification of the SNMP group
- Additional SNMPv2 group added
- Security group is a placeholder



## **SNMPv2 New Messages**

- inform-request
  - manager-to-manager message
- get-bulk-request
  - transfer of large data

Figure 6.2 SNMPv2 Network Management Architecture

SNMPv2 system architecture looks essentially the same as that of version 1, as shown in Figure 4.9. However, there are two significant enhancements in SNMPv2 architecture, which are shown in Figure 6.2. First, there are seven messages instead of five as in Figure 4.9. Second, two manager applications can communicate with each other at the peer level. Another message, report message, is missing from Figure 6.2. This is because even though it has been defined as a message, SNMPv2 Working Group did not specify its details. It is left for the implementers to generate the specifications. It is not currently being used and is hence omitted from the figure.

# Module definition Module Identity Macro

```
MODULE-IDENTITY MACRO ::=
BEGIN
      TYPE NOTATION ::=
                        "LAST-UPDATED" value (Update UTCTime)
                        "ORGANIZATION" Text
                        "CONTACT-INFO" Text
                        "DESCRIPTION" Text
                        RevisionPart
      VALUE NOTATION ::=
                        value (VALUE OBJECT IDENTIFIER)
      RevisionPart ::=
                        Revisions | empty
      Revisions ::= Revision | Revisions Revision
      Revision ::=
            "REVISION" value (UTCTime)
            "DESCRIPTION" Text
      -- uses the NVT ASCII character set
      Text ::= """" string """"
END
```

- Module is a group of related assignments
- MODULE-IDENTITY macro defines the module definitions

Figure 6.7 MODULE-IDENTITY Macro

The MODULE-IDENTITY macro is added to SMIv2 specifying an informational module. It provides administrative information regarding the informational module as well as revision history. SMIv2 MOD-ULE-IDENTITY macro is presented in Figure 6.7.

## **Module Identity Macro**

```
MODULE-IDENTITY MACRO ::=
BEGIN
      TYPE NOTATION ::=
                        "LAST-UPDATED" value (Update UTCTime)
                        "ORGANIZATION" Text
                        "CONTACT-INFO" Text
                        "DESCRIPTION" Text
                        RevisionPart
      VALUE NOTATION ::=
                        value (VALUE OBJECT IDENTIFIER)
      RevisionPart ::=
                        Revisions | empty
      Revisions ::= Revision | Revisions Revision
      Revision ::=
            "REVISION" value (UTCTime)
            "DESCRIPTION" Text
      -- uses the NVT ASCII character set
      Text ::= """" string """"
END
```

- Module is a group of related assignments
- MODULE-IDENTITY macro defines the module definitions

isiMIBModule MOD	ULE-IDENTITY
LAST-UPDATED	"9802101100Z"
ORGANIZATION	"InfoTech Services Inc."
CONTACT-INFO	"Mani Subramanian
	Tele: 770-111-1111
	Fax: 770-111-2222
	email: manis@bellsouth.net"
DESCRIPTION	" Version 1.1 of the InfoTech Services MIB module"
Revision	"9709021500Z"
DESCRIPTION	"Revision 1.0 on September 2, 1997 was a draft
	version"

Figure 6.8 Example of MODULE-IDENTITY Macro

Figure 6.7 MODULE-IDENTITY Macro

Figure 6.8 shows an example of a MODULE-IDENTITY macro (a real-world example of a non-existent module) for a network component vendor, InfoTech Services, Inc. (isi), which is updating their private-enterprises-isi MIB module {private-enterprises.isi}.

#### **OBJECT**

- OBJECT IDENTIFIER defines the administrative identification of a node in the MIB
- OBJECT-IDENTITY macro assigns an object identifier VALUE to an object in the MIB
- OBJECT-TYPE macro defines the type of a managed object

```
OBJECT-IDENTITY MACRO ::=
BEGIN
     TYPE NOTATION ::=
                        "STATUS"
                                          Status
                        "DESCRIPTION"
                                          Text
                        ReferPart
      VALUE NOTATION ::=
                        value (VALUE OBJECT IDENTIFIER)
                        "current" | "deprecated" | "obsolete"
      Status ::=
      ReferPart ::=
                        "REFERENCE" Text | empty
                        """string """"
      Text ::=
END
```

```
isiRouter OBJECT-IDENTITY
STATUS current
DESCRIPTION "An 8-slot IP router in the IP router family."
REFERENCE "ISI Memorandum No. ISI-R123 dated January. 20, 1997"
::= {private.enterprises.isi 1}

Figure 6.10(a) Example of OBJECT-IDENTITY Macro
```

Figure 6.9 OBJECT-IDENTITY Macro

#### **OBJECT-IDENTITY / OBJECT-TYPE**

- OBJECT-IDENTITY is high level description
- OBJECT-TYPE details description needed for implementation

isiRouter OBJECT-IDENTITY

STATUS current

DESCRIPTION "An 8-slot IP router in the IP router

family."

REFERENCE "ISI Memorandum No. ISI-R123 dated

January. 20, 1997"

::= {private.enterprises.isi 1}

Figure 6.10(a) Example of OBJECT-IDENTITY Macro

routerIsi123 OBJECT-TYPE

SYNTAX DisplayString

MAX-ACCESS read-only

STATUS current

DESCRIPTION "An 8-slot IP router that can

switch up to 100 million packets

per second."

::= {isiRouter 1}

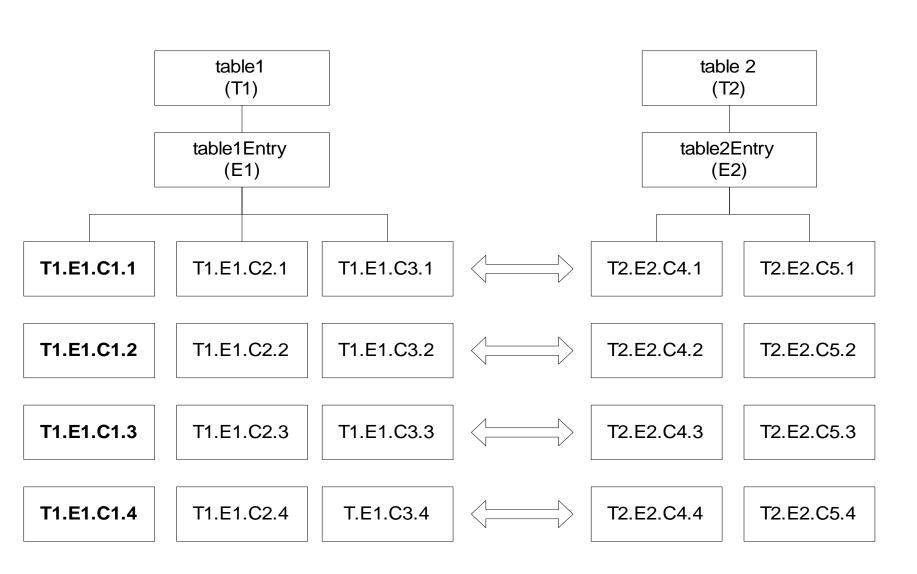
Figure 6.10(b) Example of OBJECT-TYPE Macro

## **Table Expansion**

- Augmentation of a table (dependent table) adds additional columns to an existing table (base table)
- Dense table enables addition of more rows to base table
- Sparse table supplements less rows to a base table

## **Augmentation of Tables**





Index: First columnar object in Table 1

Table 1

Conceptual rows:

- 1. T1.E1.C1.1
- 2. T1.E1.C1.2
- 3. T1.E1.C1.3
- 4. T1.E1.C1.4

## **Augmentation of Tables: Example**

ipAddrTable OBJE	CT-TYPE
SYNTAX	
MAX-ACCESS STATUS DESCRIPTION ::= {ip 20}	not-accessible current
ipAddrEntry OBJE SYNTAX MAX-ACCESS STATUS DESCRIPTION INDEX ;;= {ipAddrTable	IpAddrEntry not-accessible current "The addressing information" {ipAdEntAddr}
ipAugAddrTable SYNTAX MAX-ACCESS STATUS DESCRIPTION	OBJECT-TYPE SEQUENCE OF IpAugAddrEntry not-accessible current "The augmented table to IP address table defining board and port numbers"
::= {ipAug 1} ipAugAddrEntry SYNTAX MAX-ACCESS STATUS DESCRIPTION AUGMENTS ::= {ipAugAddrTa	

Figure 6.13 Example of Augmentation of Tables

#### **Textual Convention**

- Enables defining new data types
- Makes semantics of data types consistent and human readable
- allows creation of new data types using existing ones and applying restrictions to them
- An important textual convention in SNMPv2, RowStatus creates and deletes rows

#### **Textual Convention**

- SNMPV1: textual convention for data type DisplayString
  - Defined as an ASN.1 type assignment

- SNMPv2: textual convention for data type DisplayString
  - Defined as a data type and used to convey syntax and semantics of a textual convention

DisplayString ::= OCTET STRING

- -- This data type is used to model textual information taken from the NVT
- -- ASCII character set. By convention, objects with this syntax are
- -- declared as having
- -- SIZE (0..255)

DisplayString ::= TEXTUAL-CONVENTION

DISPLAY-HINT "255a"

STATUS current

DESCRIPTION "Represents textual

information taken from the NVT

ASCII character set, as defined in pages 4, 10-11 of RFC 854. ...."

SYNTAX OCTET STRING (SIZE (0..255))

#### **Textual Convention**

```
TEXTUAL-CONVENTION MACRO ::=

BEGIN

TYPE NOTATION ::=

DisplayPart

"STATUS" Status

"DESCRIPTION" Text

ReferPart

"SYNTAX" Syntax

VALUE NOTATION ::=

value (VALUE Syntax)

DisplayPart ::=

DisplayPart ::=

value (VALUE Syntax)

DisplayPart ::=

current" | "deprecated" | "obsolete"

END
```

Figure 6.18 TEXTUAL-CONVENTION Macro [RFC 1903]

Table 6.3	SMIv2 Textual Conventions for Initial Data Types
DisplayString	Textual information from NVT ASCII character set [RFC 854]
PhysAddress	Media- or physical-level address
MacAddress	IEEE 802 MAC address
TruthValue	Boolean value; INTEGER (true (1), false (2))
TestAndIncr	Integer-valued information used for atomic operations
AutonomousType	An independently extensible type identification value
VariablePointer	Pointer to a specific object instance; e.g., syscontact.0, ifInOctets.3
RowPointer	Pointer to a conceptual row
RowStatus	Used to manage the creation and deletion of conceptual rows and is used as the value of the SYNTAX clause for the status column of a conceptual row
TimeStamp	Value of sysUpTime at which a specific occurrence happened
TimeInterval	Period of time, measured in units of 0.01 seconds
DateandTime	Date-time specifications
StorageType	Implementation information on the memory realization of a

## **Creation of Row: RowStatus**

**Table 6.4 RowStatus Textual Convention** 

State	Enumer-	Description
	ation	
active	1	Row exists and is operational
notInService	2	Operation on the row is suspended
notReady		Row does not have all the columnar objects needed
createAndGo		This is a one-step process of creation of a row; immediately goes into active state
createAndWait	_	Row is under creation and should not be commissioned into service
destroy		Same as Invalid in EntryStatus. Row should be deleted

- Status: A new column is added to the conceptual table
- SYNTAX of Status is RowStatus
- Value of RowStatus is Enumerated INTEGER

## **Row Creation and Deletion**

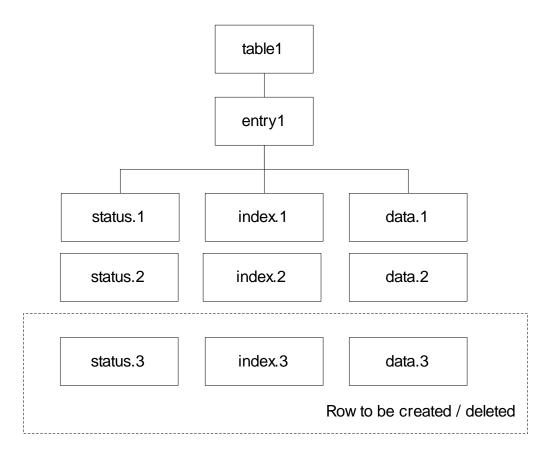


Figure 6.19 Conceptual Table for Creation and Deletion of Row

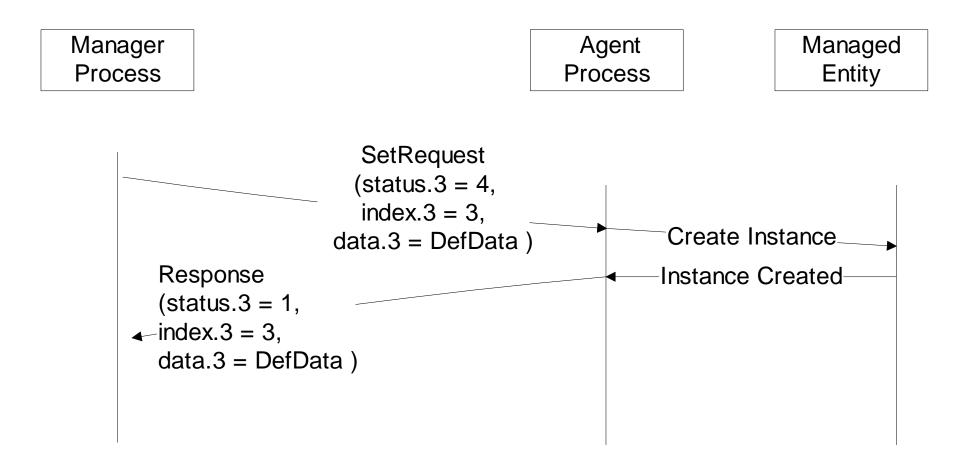


Figure 6.20 Create-and-Go Row Creation

### **Create-and-Wait: Row Creation**

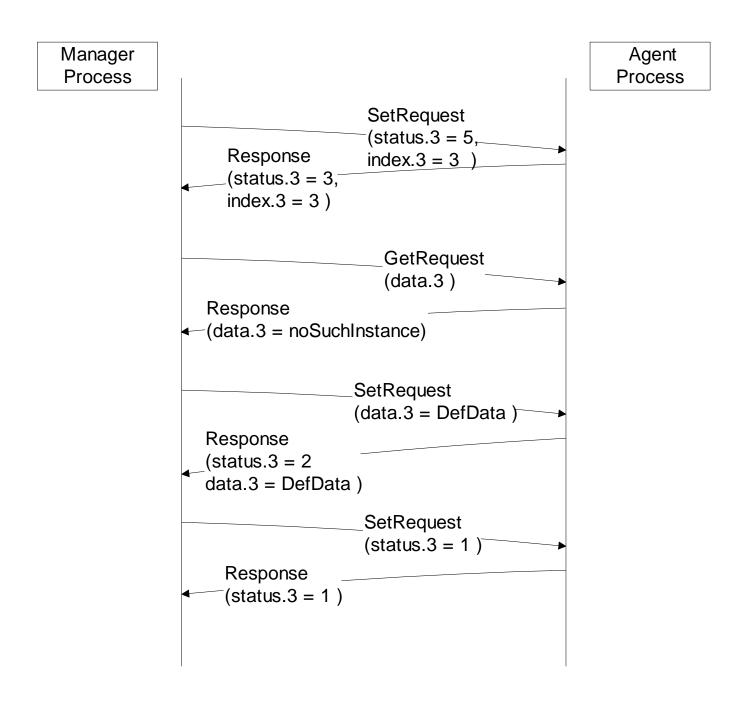


Figure 6.21 Create-and-Wait Row Creation

## **Row Deletion**

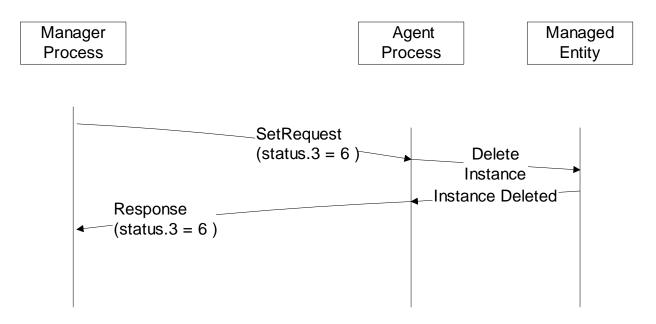
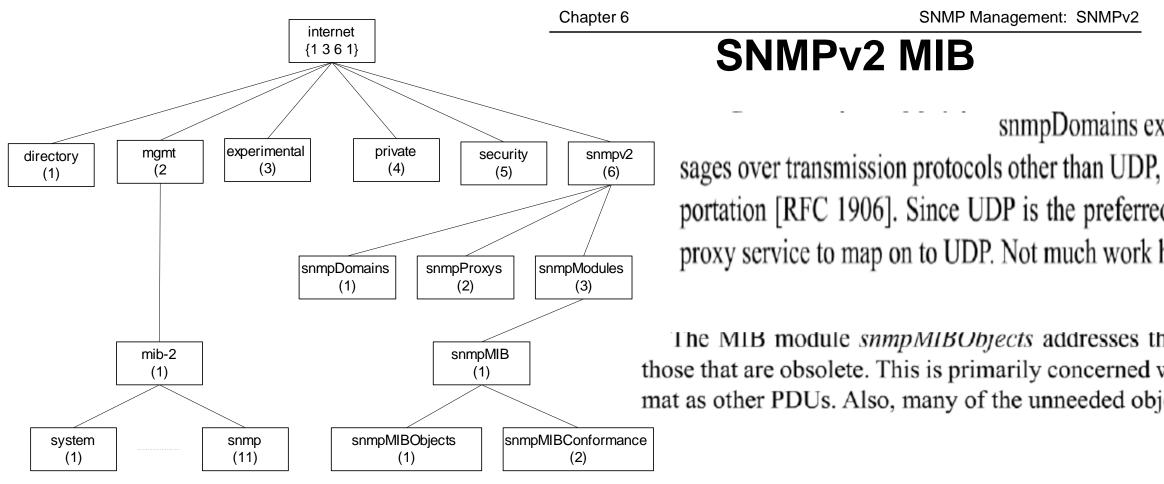


Figure 6.22 Row Deletion



snmpDomains extends the SNMP standards to send management messages over transmission protocols other than UDP, which is the predominant and preferred way of transportation [RFC 1906]. Since UDP is the preferred protocol, systems that use another protocol need a proxy service to map on to UDP. Not much work has been done on snmpProxys, as of now.

The MIB module *snmpMIBObjects* addresses the new objects introduced in SNMPv2, as well as those that are obsolete. This is primarily concerned with trap, which has been brought into the same format as other PDUs. Also, many of the unneeded objects in the SNMP group have been made obsolete.

Figure 6.31 SNMPv2 Internet Group

## conformance specifications and object groups are specified under the *snmpMIBconformance* module.

- Security is a placeholder
- System group: A table sysORTable added that lists resources that the agent controls; NMS configures NE through the agents.
- Most of the objects in the SNMPv1 obsoleted
- Object Groups and Notification Groups defined for conformance specifications.

#### **Conformance statements**

RFC 1904 defines SNMPv2 conformance statements for the implementation of network management standards. A product, generally, is considered to be in compliance with a particular standard when it meets the minimum set of features in its implementation. Minimum requirements for SNMPv2 compliance are called module compliance and are defined by an ASN.1 macro, MODULE-COMPLIANCE. It specifies the minimum MIB modules or a subset of modules that should be implemented. The actual MIB modules that are implemented in an agent are specified by another ASN.1 module, AGENT-CAPA-BILITIES. For the convenience of defining module compliance and agent capabilities, objects and traps have been combined into groups, which are subsets of MIB modules. Object grouping is defined by an ASN.1 macro, OBJECT-GROUP, and the group of traps is defined by the NOTIFICATION-GROUP macro.

#### **Conformance: OBJECT-GROUP**

- Conformance defined by
  - OBJECT-GROUP macro
  - NOTIFICATION-GROUP macro

#### **Conformance: OBJECT-GROUP**

#### **OBJECT-GROUP**

- Compiled during implementation, not at run time
- OBJECTS clause names each object
- Every object belongs to an OBJECT- GROUP
- Access defined by MAX-ACCESS, the maximum access privilege for the object

```
OBJECT-GROUP MACRO
BEGIN
      TYPE NOTATION ::=
                        ObjectsPart
                        "STATUS" Status
                        "DESCRIPTION" Text
                        ReferPart
      VALUE NOTATION ::=
                        value (VALUE OBJECT IDENTIFIER)
     ObjectsPart ::=
                        "OBJECTS" "{"objects"}"
     Objects ::=
                        Object | Objects "," Object
                        value (Name Object Name)
     Object ::=
      Status ::=
                        "current" | "deprecated" | "obsolete"
                        "REFERENCE" Text | empty
      ReferPart ::=
      -- uses the NVT ASCII character set
                        """ string """
      Text ::=
END
```

Figure 6.25 Example of OBJECT-GROUP Macro

#### **Conformance: NOTIFICATION-GROUP**

**Notification Group.** The notification group contains notification entities, or what was defined as traps in SMIv1.

- NOTIFICATION-GROUP
  - Contains trap entities defined in SMIv1
  - NOTIFICATIONS clause identifies the notifications in the group
  - NOTIFICATIONS-GROUP macro compiled during implementation, not at run time

#### **Conformance: NOTIFICATION-GROUP**

NOTIFICATION-GROUP MACRO BEGIN

TYPE NOTATION ::=

NotificationsPart "STATUS" Status "DESCRIPTION" Text

ReferPart

VALUE NOTATION ::=

value (VALUE OBJECT IDENTIFIER)

NotificationsPart ::= "NOTIFICATIONS" "{"Notifications"}"

Notification ::= Notification | Notifications "," Notification

Notification ::= value (Name NotificationName)

Status ::= "current" | "deprecated" | "obsolete"

ReferPart ::= "REFERENCE" Text | empty

-- uses the NVT ASCII character set

Text ::= """ string """"

**END** 

Figure 6.26 NOTIFICATION-GROUP Macro

snmpBasicNotificationsGroup NOTIFICATION-GROUP

NOTIFICATIONS {coldStart, authenticationFailure}

STATUS current

DESCRIPTION "The two notifications which an SNMP-2 entity is

required to implement."

::= {snmpMIBGroups 7}

Figure 6.27 Example of NOTIFICATION-GROUP Macro

## Compliance

Module Compliance. The MODULE-COMPLIANCE macro, shown in Figure 6.28, defines • Compliance has two classes of groups the minimum set of requirements for implementation of one or more MIB modules. The expansion of the MODULE-COMPLIANCE macro is done during the implementation and not during run-time. The MODULE-COMPLIANCE macro can be defined as a component of the information module or as a companion module.

- - MANDATORY-GROUPS ... Required
  - ...Optional GROUP

```
-- compliance statements
snmpBasicCompliance MODULE-COMPLIANCE
     STATUS
                 current
     DESCRIPTION
                 "The compliance statement for SNMPv2 entities which
                 implement the SNMPv2 MIB."
     MODULE
                 -- this module
           MANDATORY-GROUPS {snmpGroup, snmpSetGroup,
                                  systemGroup,
                                  snmpBasicNotificationsGroup}
                      snmpCommunityGroup
           GROUP
           DESCRIPTION
                 "This group is mandatory for SNMPv2 entities which support
                 community-based authentication."
     ::= {snmpMIBCompliances 2}
-- units of conformance
snmpGroup OBJECT-GROUP ::= {snmpMIBGroups 8}
snmpCommunityGroup OBJECT-GROUP ::= {snmpMIBGroups 9}
snmpObsoleteGroup OBJECT-GROUP ::= {snmpMIBGroups 10}
```

## **Agent Capabilities**

It specifies the minimum MIB modules or a subset of modules that should be implemented. The actual MIB modules that are implemented in an agent are specified by another ASN.1 module, AGENT-CAPA-BILITIES.

- AGENT-CAPABILITIES macro
  - SUPPORTS modules and includes groups
  - VARIATION identifies additional features

routerIsi123 AGENT-CAPABILI	TIES
PRODUCT-RELEASE	"InfoTech Router isiRouter123 release 1.0"
STATUS	current
DESCRIPTION	"InfoTech High Speed Router"
SUPPORTS	snmpMIB
INCLUDES	{systemGroup, snmpGroup, snmpSetGroup,
	snmpBasicNotificationsGroup }
VARIATION	coldStart
DESCRIPT	ION "A coldStart trap is generated on all
	reboots."
SUPPORTS	IF-MIB
INCLUDES	{ifGeneralGroup, ifPacketGroup}
SUPPORTS	IP MIB
INCLUDES	{ipGroup, icmpGroup}
SUPPORTS	TCP-MIB
INCLUDES	{tcpGroup}
SUPPORTS	UDP-MIB
INCLUDES	{udpGroup}
SUPPORTS	EGP-MIB
INCLUDES	{egpGroup}
::= { isiRouter 1 }	

Figure 6.30 Example of AGENT-CAPABILITIES Macro  $_{
m 30}$ 

#### **SNMPv2 SNMP MIB**

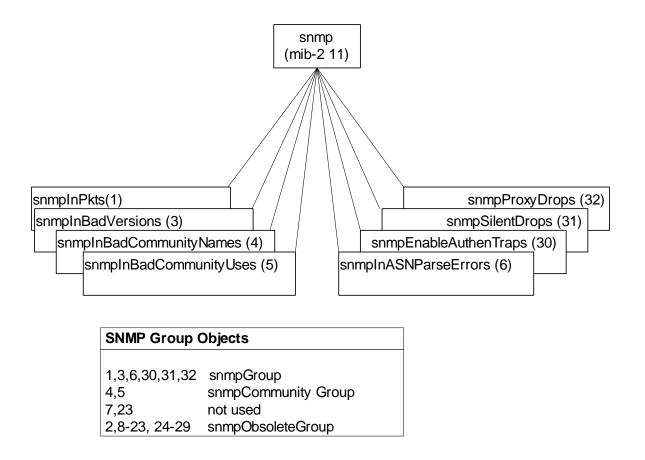


Figure 6.33 SNMPv2 SNMP Group

#### **Notes**

• Compare this to SNMPv1 MIB!

## snmpMIBObjects MIB

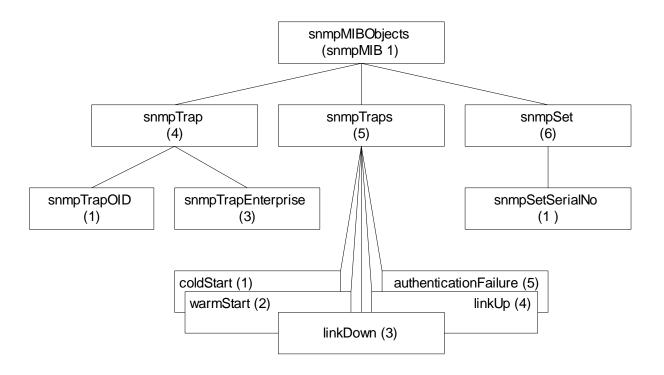


Figure 6.34 MIB Modules under snmpMIBObjects

PDU RequestID	Error	Error	VarBind 1	VarBind 1		VarBind n	VarBind n
Type	Status	Index	name	value	•••	name	value

Figure 6.37 SNMPv2 PDU (all but Bulk)

- Standardized format for all messages
- Interpretation of error status and error index fields; In v1, if error occurs status and index field filled, but varBindList blank

Interpretation in v2	Status	Index
varBindList ignored	X	
varBind of index field ignored	X	X

#### **SNMPv2 PDU and Error Status**

## Table 6.11 Values for Types of PDU and Error-status Fields in SNMPv2 PDU

Field	Туре	Value
PDU	0	Get-Request-PDU
	1	GetNextRequest-PDU
	2	Response-PDU
	3	Set-Request- PDU
	4	obsolete
	5	GetBulkRequest PDU
	6	InformRequest- PDU
	7	SNMPv2 - Trap- PDU
Error Status	0	noError
	1	tooBig
	2	noSuchName
	3	badValue
	4	readOnly
	5	genErr
	6	noAccess
	7	wrongType
	8	wrongLength
	9	wrongEncoding
	10	wrongValue
	11	noCreation
	12	inconsistentValue
	13	resourceUnavailable
	14	commitFailed
	15	undoFailed
	16	authorizationError
	17	notWritable
	18	inconsistentName

## **SNMPv2 GetBulkRequest PDU**

PDU	RequestID	Non-	Max	VarBind 1	VarBind 1	VarBind n	VarBind n	
Type	Requestio	Repeaters	Repetitions	name	value	 name	value	

#### Figure 6.38 SNMPv2 GetBulkRequest PDU

- Error status field replaced by Non-repeaters
- Error index field replaced by Max repetitions
- No one-to-one relationship between request and response

## **Get-Bulk-Request: Generic MIB**

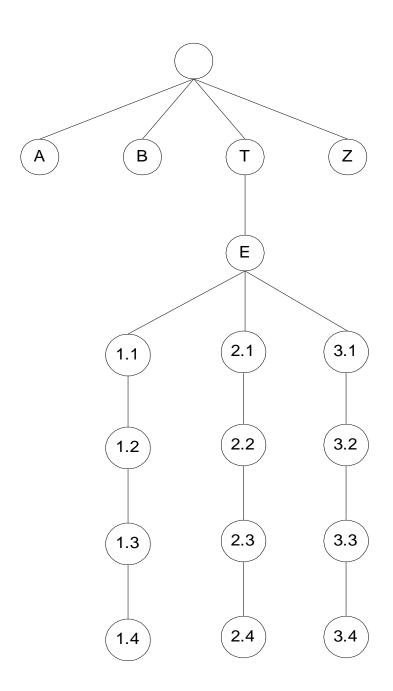


Figure 6.39 MIB for Operation Sequences in Figures 6.40 and 6.41

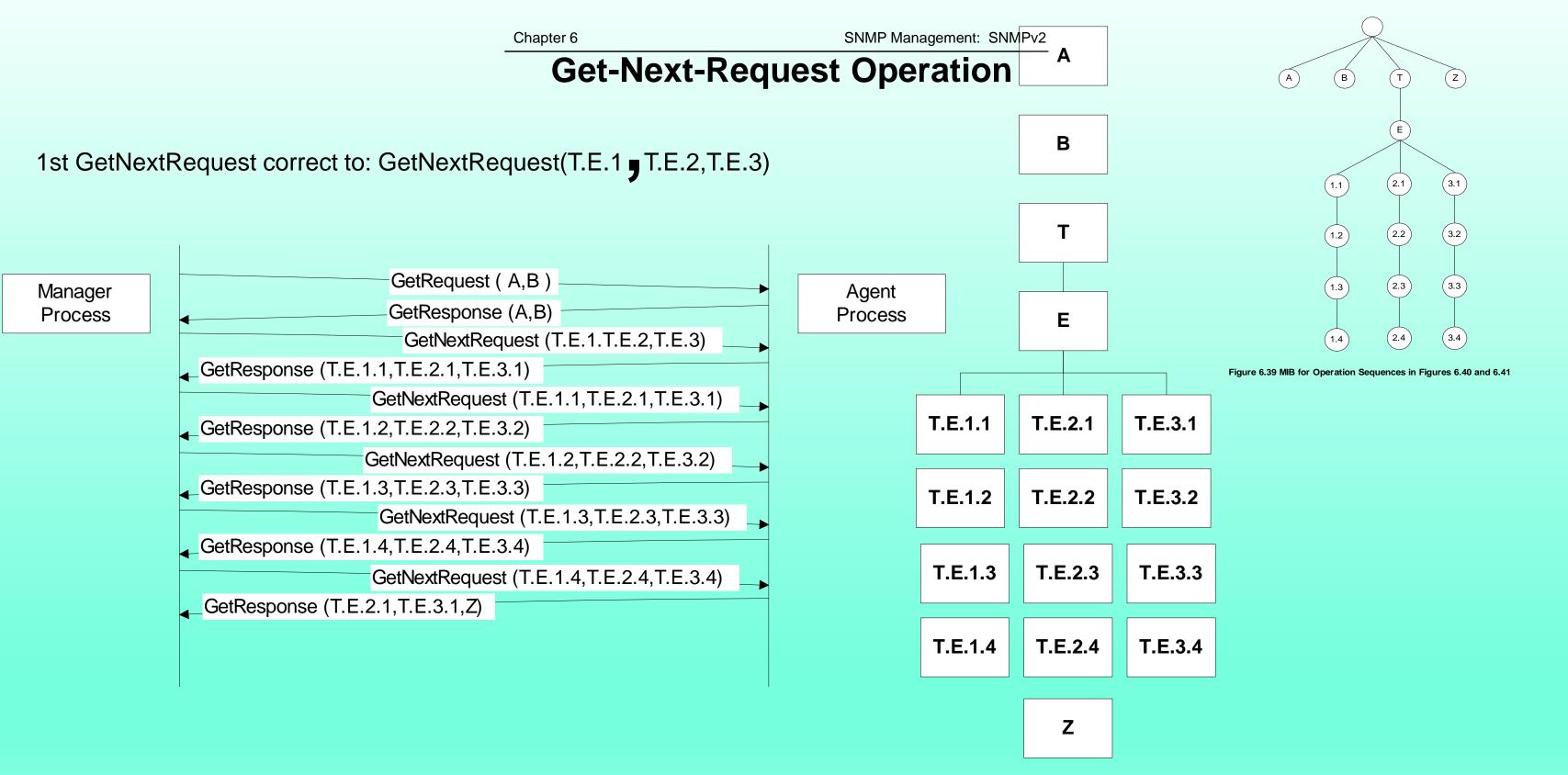
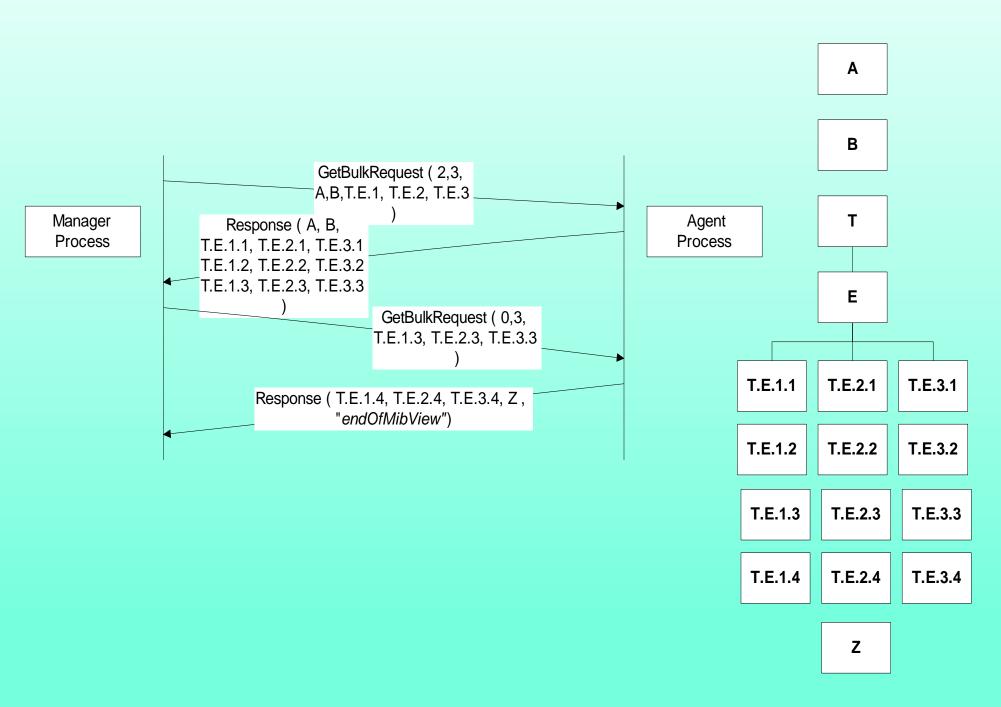


Figure 6.40 Get-Next-Request Operation for MIB in Figure 6.39

## **Get-Bulk-Request Operation**

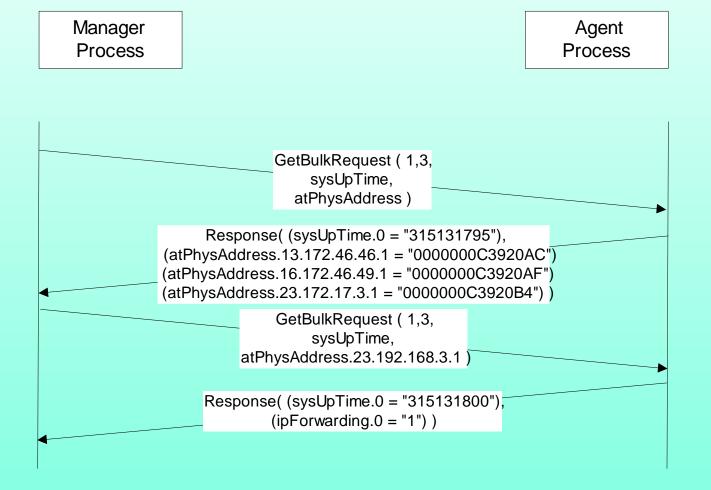


 $\left[\mathsf{Z}\right]$ В Ε 2.1 3.1 1.1 2.2 3.2 1.2 2.3 3.3 1.3 3.4 2.4 1.4

Figure 6.39 MIB for Operation Sequences in Figures 6.40 and 6.41

Figure 6.41 Get-Bulk-Request Operation for MIB in Figure 6.39

## **Get-Bulk-Request Example**



atlfIndex	atPhysAddress	atNetAddress
23	0000000C3920B4	192.68.3.1
13	0000000C3920AC	172.46.46.1
16	0000000C3920AF	172.46.49.1
	23 13	23 0000000C3920B4 13 0000000C3920AC

Figure 6.42 Get-Bulk-Request Example

## **SNMPv2** Trap

PDU <sub>Bo</sub>	equestID	Error	Error	VarBind 1	VarBind 1	VarBind 2	VarBind 2	
Type	equestiD	Status	Index	sysUpTime	value	snmpTrapOID	value	•••

#### Figure 6.43 SNMPv2 Trap PDU

- Addition of NOTIFICATION-TYPE macro
- OBJECTS clause, if present, defines order of variable bindings
- Positions 1 and 2 in VarBindList are sysUpTime and snmpTrapOID

```
linkUp NOTIFICATION-TYPE
OBJECTS { ifIndex }
STATUS current
DESCRIPTION "A linkUp trap signifies that the SNSMPv2 entity,
acting in an agent role, recognizes that one of the
communication links represented in its configuration
has come up."
```

Figure 6.44 Example of OBJECTS Clause in NOTIFICATION-TYPE

## **Inform-Request**

PDU	RequestID	Error	Error	VarBind 1	VarBind 1	VarBind 2	VarBind 2	
Type	Requestio	Status	Index	sysUpTime	value	snmpTrapOID	value	

Figure 6.43 SNMPv2 Trap PDU

- Inform-Request behaves as trap in that the message goes from one manager to another unsolicited
- The receiving manager sends response to the sending manager

## **Bilingual Manager**

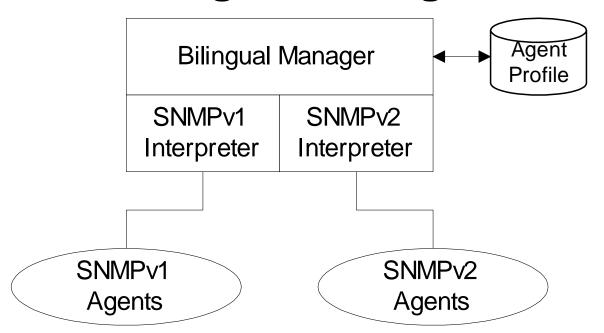


Figure 6.45 SNMP Bilingual Manager

- Compatibility with SNMPv1
  - Bilingual Manager
  - Proxy Server
- Bilingual Manager expensive in resource and operation

## **SNMP Proxy Server**

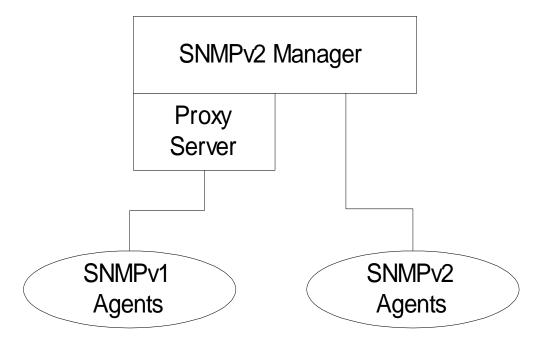


Figure 6.46 SNM Pv2 Proxy Server Configuration

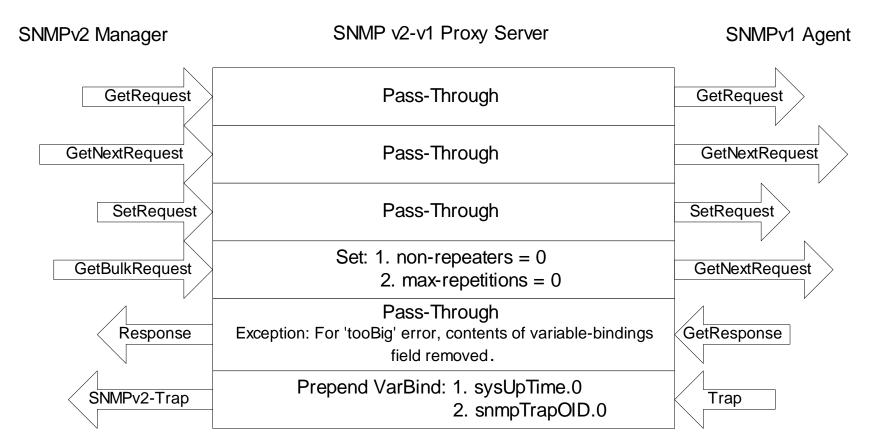


Figure 6.47 SNMP v2-v1 Proxy Server